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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/701,945

11/04/2003

James J. Mallozzi

Hartford-13B

7123

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7590

09/22/2008

Howard IP Law Group

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EXAMINER

CAMPEN, KELLY SCAGGS

ART UNIT

PAPER NUMBER

3691

MAIL DATE

DELIVERY MODE

09/22/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Interview Summary</b>	<b>Application No.</b> 10/701,945	<b>Applicant(s)</b> MALLOZZI, JAMES J.	
	<b>Examiner</b> KELLY CAMPEN	<b>Art Unit</b> 3691	

All participants (applicant, applicant's representative, PTO personnel):

(1) KELLY CAMPEN. (3)   .

(2) ROBERT ROSENTHAL. (4)       .

Date of Interview: 16 September 2008.

Type: a) ☒ Telephonic    b) ☐ Video Conference  
       c) ☐ Personal [copy given to: 1) ☐ applicant    2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes    e) ☒ No.  
       If Yes, brief description:       .

Claim(s) discussed: 1-20.

Identification of prior art discussed: Hagan of record.

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Discussed how invention differed from Hagan reference. Discussed investments are not subject to securities regulations, Examiner suggested this could be amended into the independent claim(s).

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

/Kelly Campen/ Examiner, Art Unit 3691	
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